

Please type a plus sign (+) inside this box →

MAR 07 2000

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in this Submission:

Application No.

09/471,630

Filing Date

December 24, 1999

First Named Inventor

Glen Sonnenberg

Group Art Unit

2787

Examiner Name

Unassigned

Attorney Docket No.

NA99-01001

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee attached
- ☐ Amendment/Response
  - ☐ After Final
  - ☐ Affidavit/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts Notice/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

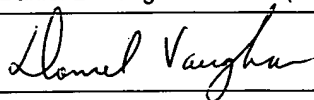
- ☐ Assignment Papers for an application
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney by Assignee, with Revocation of Former Powers
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After-Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s):

- ☒ Return Receipt Postcard
- ☐ Check for \$ \_\_\_\_\_
- ☐ \_\_\_\_\_

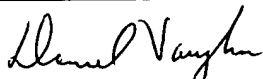
Remarks:

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Name	Daniel E. Vaughan (Registration No. 42,199)	Date	March 3, 2000
Signature		Telephone	650/329-1973
Address	399 Sherman Avenue, Suite 5, Palo Alto, CA 94306	Facsimile	650/329-1893

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as ☐ Express Mail (No. EJ xxx yyy zzz US) or ☐ First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: March 3, 2000

Type or Printed Name	Daniel E. Vaughan	Signature	
----------------------	-------------------	-----------	--

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

4/2  
3/15/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sonnenberg

Application No.: 09/471,630

Filed: December 24, 1999

For: **SYSTEM AND METHOD FOR  
SELECTIVE COMMUNICATION  
SCANNING AT A FIREWALL  
AND A NETWORK NODE**



Group Art Unit: 2787

Examiner: *Unassigned*

RECEIVED  
MAR -9 2000  
TECH CENTER 2700

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97, Attorneys for Applicant hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled "List of References Cited by Applicant."

Identification of references listed on the accompanying PTO Form 1449 is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Further, the right is reserved to antedate any included reference in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made or that the cited references are inclusive of all the relevant and material citations that may be available publicly.

  X   (New Application) Copies of each cited publication and U.S. and foreign patent, except for pending U.S. applications, are submitted herewith, along with a concise explanation of foreign language publications (if any).

       (Continuation Application) The individual references in the accompanying "List of References" were cited by Applicants or the U.S. Examiner in the parent application, U.S. Serial No.           , filed on           . Pursuant to 37 C.F.R. § 1.98(d), copies of the items are not enclosed but may be found in the file history of U.S. Serial No.           .

Applicant respectfully requests that the listed references be considered by the Examiner and be made of record in the application identified above. The Examiner is requested to initial and return the enclosed PTO Form 1449 in accordance with MPEP § 609.

X 37 C.F.R. § 1.97(b). No fee is believed due with this statement, because (check all that apply):

- x (1) It is being filed within 3 months of the application filing date; OR
- (2) It is being filed within 3 months of entry of a national stage; OR
- x (3) It is being filed before the mailing date of the first Office Action on the merits.

— 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

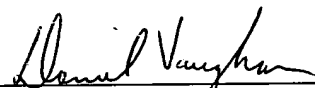
- a certification as specified in § 1.97(e) is provided below; or
- a fee of \$240.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:

- A. a certification as specified in § 1.97(e) is completed below; and
- B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in § 1.17(i) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

Respectfully submitted,

Date: March 3, 2000

By:   
Daniel E. Vaughan (Reg. No. 42,199)

Park & Vaughan LLP  
399 Sherman Avenue, Suite 5  
Palo Alto, CA 94306  
Telephone: (650) 329-1973